

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jonathan D. Root et al.

Examiner: Unknown

Serial No.: 10/699,727

Group Art Unit: Unknown

Filed: November 3, 2003

Docket No.: 1001.1426103

For: PERCUTANEOUS CATHETER AND GUIDEWIRE FOR FILTERING
DURING ABLATION OF MYOCARDIAL OR VASCULAR TISSUE

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 24 day of January, 2004.

By: 

Glenn M. Seager

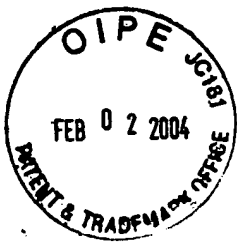
We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (Pursuant to 37 CFR §1.98(d) and 37 CFR §1.98(a)(2)(i), copies of the references are not being submitted).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 

Glenn M. Seager, Reg. No. 36,926
Customer No. 28075

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PATENT

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CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 30 day of January, 2004.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

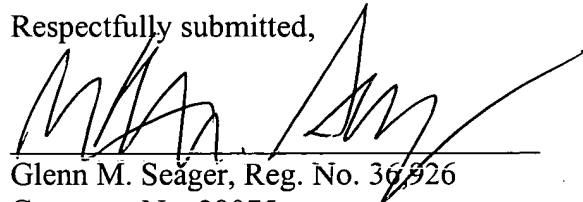
Copies of the documents listed on the 1449 Form were previously either submitted by Applicant, cited by the U.S. Patent and Trademark Office in a prior application, U.S. Application No. 09/766,940, filed January 22, 2001, now U.S. Patent

No. 6,673,090, U.S. Application No. 09/369,060, filed August 4, 1999, now U.S. Patent No. 6,235,044 or is a U.S. patent application publication or a U.S. patent, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the provisions of 37 C.F.R. §1.98(d) and 37 CFR §1.98(a)(2)(i), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Jan. 30, 2004

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926
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FORM 1449*

Docket Number:
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10/699,727INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

Applicant: Root et al.

Filing Date: November 3, 2003

Group Art Unit: Unknown

(Use several sheets if necessary)

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| Examiner Initial | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS |
|---------------------|--------------|------|------|-------|----------|
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EXAMINER:

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FORM 1449*

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IN AN APPLICATION

(Use several sheets if necessary)

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Filing Date: November 3, 2003

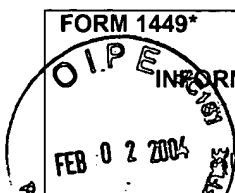
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|--|--------------------------------|-----------------------------------|
| FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 1001.1426103 | Application Number: 10/699,727 |
| | Applicant: Root et al. | |
| | Filing Date: November 3, 2003 | Group Art Unit: Unknown |

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(Use several sheets if necessary)

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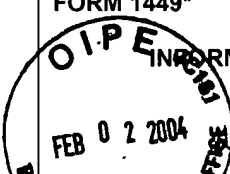
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| FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 1001.1426103 | Application Number: 10/699,727 |
| | Applicant: Root et al. | |
| | Filing Date: November 3, 2003 | Group Art Unit: Unknown |

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FORM 1449*

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(Use several sheets if necessary)

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Filing Date: November 3, 2003

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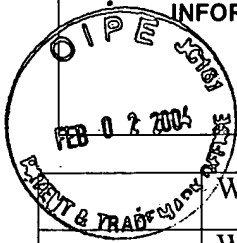
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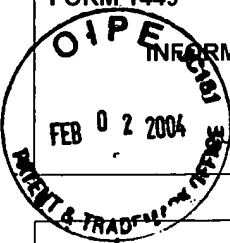


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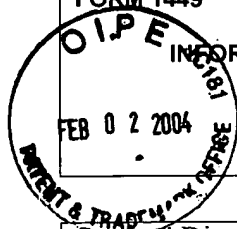
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